

APPENDIX D

Progress against 2007/08 Audit Plan as at 30 November 2007 including slippage

<b>SBC STRATEGIC AUDIT PLAN 2007/08</b>					
	<b>Complete</b>	<b>In Progress</b>	<b>To Commence</b>	<b>Slippage From 2007/08 Plan</b>	<b>Slippage Days</b>
<b>Key Financial Systems Audits 2006/07</b>					
Main accounting	√				
Payroll	√				
Creditor payments	√				
Cash & payments	√				
Council tax	√				
Business rates	√				
Benefits	√				
Sundry debtors	√				
Housing Rents (SHL)	√				
<b>Key Financial Systems Audits 2007/08</b>					
Main accounting			√		
Payroll			√		
Creditor payments			√		
Cash & payments		10% complete			
Council tax			√		
Business rates			√		
Benefits			√		
Sundry debtors			√		
Housing Rents (SHL)		10% complete			
<b>Horizontal audits (*):</b>					
Building & premises maintenance			√		
Car parking (on and off street, lorries)				√	20
Current contracts		on-going			
Grants to external bodies				√	15
Information management (RIPA, DP Act, FOI)			√		
Land & property management (purchases, sales, estates)				√	20
Leisure & recreation				√	20
Procurement		on-going			
Risk management		50% complete			
Training				√	15
<b>Vertical audits (*):</b>					
Building control				√	15
CCTV				√	15
Cemeteries				√	15
Development control	√				
Environmental Health		10% complete			

Highways				√	15
Information technology			√		
IT systems development and major upgrades		on-going		√	13
Insurance			√		
Museum				√	15
Personnel			√		
Renovation grants				√	15
Treasury management			√		
<b>Corporate contribution</b>					
Audit advice and consultancy		on-going			
Audit follow up				√	23
Best value performance indicators (incl interim review)	√				
Contribution to corporate mgmt (incl audit ctte)		on-going			
Non audit duties (appraisals & procurement)		on-going			
Special investigations contingency		on-going			
<b>SHL / HRA Audits:</b>					
Building and Premises Management			√		
Current Contracts		on-going			
Housing Management – Voids		50% complete			
Housing Management – Allocations			√		
Housing Management - Homelessness		90% complete			
Decent Homes				√	15
Right-to-Buy			√		
Stores		50% complete			
<b>Corporate contribution</b>					
Audit advice and consultancy		on-going			
Contribution to corporate mgmt (incl audit committee)		on-going			
		on-going as required			
Special investigations contingency					
Audit follow up				√	7
Best value performance indicators	√				
				TOTAL	238